

May. 9. 2005 8:50AM

No. 0493 P. 3

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail** Mail Stop ISSUE FEE  
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26922 7590 03/14/2005

**BASF CORPORATION**  
**ANNE GERRY SABOURIN**  
**26701 TELEGRAPH ROAD**  
**SOUTHFIELD, MI 48034-2442**



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I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

Marjorie Ellis	(Depositor's name)
<i>Marjorie E. Ellis</i>	(Signature)
5-10-05	(Date)

APPLICATION NO.	FILED DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/043,816	01/10/2002	Smitha Grandhee	IN-5270	7864

**TITLE OF INVENTION: HYDROXYL AND CARBAMATE FUNCTIONAL RESINS**

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(\$ DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	06/14/2005
EXAMINER		ART UNIT		CLASS-SUBCLASS	
GORR, RACHEL F		1711		528-044000	

## 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
 "Fee Address" indication (or "Fee Address" indication form PTO/SB/47: Rev 03-02 or more recent) attached. Use of a Customer Number is required.

## 2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. \_\_\_\_\_  
2. \_\_\_\_\_  
3. \_\_\_\_\_

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE  
**BASF Corporation**

(B) RESIDENCE: (CITY and STATE OR COUNTRY)  
**26701 Telegraph Road**  
**Southfield, Michigan 48034-2442**

USA

Please check the appropriate assignee category or categories (will not be printed on the patent):  Individual  Corporation or other private group entity  Government

## 4a. The following fee(s) are enclosed:

## 4b. Payment of Fee(s):

Issue Fee  
 Publication Fee (No small entity discount permitted)  
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 Payment by credit card. Form PTO-2038 is attached.  
 The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number **200-044000** (enclose an extra copy of this form).

## 5. Change in Entity Status (from status indicated above)

a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.  
 b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(e)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.  
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100-044000

Authorized Signature \_\_\_\_\_

Date **4/11/05**Typed or printed name **Anne Gerry Sabourin**Registration No. **33,772**

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5/10/2005 AMENDMENT 000004723325

01 FC:1501 1400.00 DA  
02 FC:1504 300.00 DS  
03 FC:1504 200.00 DS  
FL:0000

May. 9, 2005 8:50AM

No. 0493 P. 1



PATENT

(Practitioner's Docket No. IN-5270)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Sunitha GRANDHEE, et al.

US Serial No. 10/043,816

Filed: 01/10/2002

For: HYDRIXYL AND CARBAMATE  
FUNCTIONAL RESINS

Group Art Unit: 1711

Examiner: GORR, RACHEL F

I hereby certify that the attached correspondence is being transmitted via facsimile addressed to Mail Stop Issue Fee, Commissioner for Patents, P.O. BOX 1450, Alexandria, Virginia 22313-1450, on May 9, 2005 number (703) 746-4000

Marjorie Ellis

**BOX ISSUE FEE**

**Commissioner of Patents**

**P.O. Box 1450**

**Alexandria, Virginia 22313-1450**

Part B- Fee(s) Transmittal submitted in duplicate

International Preliminary Examination Report

Total pages (including this page) 03 .